

NOTICE OF MEETING

Meeting	Audit Committee
Date and Time	Thursday, 19th December, 2019 at 2.00 pm
Place	Chute Room, EII South, The Castle, Winchester
Enquiries to	members.services@hants.gov.uk

John Coughlan CBE
Chief Executive
The Castle, Winchester SO23 8UJ

FILMING AND BROADCAST NOTIFICATION

This meeting may be recorded and broadcast live on the County Council's website. The meeting may also be recorded and broadcast by the press and members of the public – please see the Filming Protocol available on the County Council's website.

AGENDA

1. APOLOGIES FOR ABSENCE

To receive any apologies for absence.

2. DECLARATIONS OF INTEREST

All Members who believe they have a Disclosable Pecuniary Interest in any matter to be considered at the meeting must declare that interest and, having regard to the circumstances described in Part 3 Paragraph 1.5 of the County Council's Members' Code of Conduct, leave the meeting while the matter is discussed, save for exercising any right to speak in accordance with Paragraph 1.6 of the Code. Furthermore all Members with a Non-Pecuniary interest in a matter being considered at the meeting should consider whether such interest should be declared, and having regard to Part 5, Paragraph 2 of the Code, consider whether it is appropriate to leave the meeting while the matter is discussed, save for exercising any right to speak in accordance with the Code.

3. MINUTES OF PREVIOUS MEETING HELD ON 23 JULY 2019 (Pages 5 - 10)

To confirm the minutes of the previous meeting.

4. DEPUTATIONS

To receive any deputations notified under Standing Order 12.

5. CHAIRMAN'S ANNOUNCEMENTS

To receive any announcements the Chairman may wish to make.

6. INFORMATION COMPLIANCE - USE OF REGULATED INVESTIGATORY POWERS (Pages 11 - 16)

To receive the quarterly update on the County Council's use of regulated investigatory powers.

7. INTERNAL AUDIT PROGRESS REPORT (Pages 17 - 40)

To consider a report of the Deputy Chief Executive and Director of Corporate Resources with an update on internal audit progress.

8. PUBLIC SECTOR INTERNAL AUDIT STANDARDS (PSIAS) - EXTERNAL ASSESSMENT (Pages 41 - 48)

To consider a report of the Deputy Chief Executive and Director of Corporate Resources providing an overview of the alternative options considered in commissioning an external assessor to undertake the pending assessment of the Southern Internal Audit Partnership against the Public Sector Internal Audit Standards / Local Government Application Note.

9. TREASURY MANAGEMENT MONITORING REPORT 2019/20 (Pages 49 - 64)

To consider a report of the Deputy Chief Executive and Director of Corporate Resources with an update on the County Council's Treasury Management Strategy.

10. MINUTES OF THE HAMPSHIRE PENSION FUND PANEL AND BOARD MEETING - 12 JULY, 26 JULY AND 27 SEPTEMBER 2019 (LESS EXEMPT) (Pages 65 - 82)

To receive the non-exempt minutes of the Hampshire Pension Fund Panel and Board meeting held on 12 July, 26 July and 27 September 2019.

11. RISK MANAGEMENT ARRANGEMENTS (Pages 83 - 88)

To consider a report of the Director of Director of Transformation and Governance – Corporate Services providing an overview of and update against the key aspects of the County Council's risk management arrangements.

12. EXCLUSION OF THE PRESS AND PUBLIC

To resolve that the public be excluded from the meeting during the following items of business, as it is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that if members of the public were present during this item there would be disclosure to them of exempt information within Paragraphs 3,5 of Part 1 of Schedule 12A to the Local Government Act 1972, being information relating to any individual and information in respect of which a claim to legal professional privilege could be maintained in legal proceedings.. Further, it is considered that, in all the circumstances, the public interest in maintaining this exemption outweighs the public interest in disclosing this information. While there may be a public interest in disclosing this information, it is felt that, on balance, this is outweighed by other factors in favour of maintaining the exemption, namely in favour of maintaining confidentiality, for the reasons set out in the exempt minutes.

13. RISK MANAGEMENT ARRANGEMENT - EXEMPT APPENDIX (Pages 89 - 90)

To consider an exempt appendix to the Risk Management Arrangements report.

14. MINUTES OF THE HAMPSHIRE PENSION FUND PANEL AND BOARD MEETING - 12 JULY, 26 JULY AND 27 SEPTEMBER 2019 (EXEMPT) (Pages 91 - 104)

To receive the exempt minutes of the Hampshire Pension Fund Panel and Board meeting held on 12 July, 26 July and 27 September 2019.

ABOUT THIS AGENDA:

On request, this agenda can be provided in alternative versions (such as large print, Braille or audio) and in alternative languages.

ABOUT THIS MEETING:

The press and public are welcome to attend the public sessions of the meeting. If you have any particular requirements, for example if you require wheelchair access, please contact members.services@hants.gov.uk for assistance.

County Councillors attending as appointed members of this Committee or by virtue of Standing Order 18.5; or with the concurrence of the Chairman in connection with their duties as members of the Council or as a local County Councillor qualify for travelling expenses.